

Brief an Ronald Rivest Massachusetts Institute of Technology

Dear Sirs

I send you some results of my master theisis(Theorem 3,
Theorem 4, Theorem 5 and a counterexample).
The correctness of the counterexample was attested
by Prof. Rivest from the M.I.T. (see page 6)
If you think the results are worth publishing,
please let me know

sincerely

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P.S.

REFERENCES:

see at page 383 of the article:

"On recognizing graph properties from adjacency matrices"
in: Theoretical Computer Science 3 (1976) 371-384

Sufficient Conditions For Exhaustive Boolean Functions

Abstract:

Norbert Illies discovered a counterexample to the generalized Aanderaa-Rosenberg Conjecture.

When we want generalize this counterexample such that (how in the counterexample of Illies) is: $\Gamma(P) \supset C_d = \langle \sigma \rangle$

($\sigma = (m_1, \dots, m_d)$ is a permutation in cyclic representation that creates the cyclic group C_d) then we have: $d \notin E$

This follows by the main theorem 3 of this work.

Theorem 4 and 5 are sufficient conditions for exhaustive Boolean functions. At last I will still show the incorrectness of a published proof.

The following results, definitions etc refer to the article "On recognizing graph properties from adjacency matrices"

in: Theoretical Computer Science 3 (1976) 371-384

(authors: Rivest/Vuillemin)

and the article "A counterexample to the generalized Aandera-Rosenberg conjecture", in Informating Processing Letters , Volume 7, number 3 april 1978

Introduction.

We denote by $P: \{0,1\}^d \rightarrow \{0,1\}$ Boolean functions, with $x := (x_1, \dots, x_d)$ vectors from $\{0,1\}^d$. 0 denotes the vector consisting of d zeros, 1 the vector consisting of d ones.

Σ_d is the set of all permutations on $\{1,2,\dots,d\}$ and is called the symmetric group on $\{1,2,\dots,d\}$

A subgroup Γ of Σ_d is called transitive iff for all $i, j \in \{1, \dots, d\}$ there is a permutation $\sigma \in \Gamma$ with $\sigma(i) = j$. Let $P: \{0,1\}^d \rightarrow \{0,1\}$

be a function, $x \in \{0,1\}^d$ a vector and $\sigma(x) := (x_{\sigma(1)}, x_{\sigma(2)}, \dots, x_{\sigma(d)})$

Then the set of permutations $\Gamma(P) := \{ \sigma \in \Sigma_d \mid \forall x \in \{0,1\}^d \ P(x) = P(\sigma(x)) \}$

is called the stabilizer of P . $\Gamma(P)$ is a subgroup of Σ_d

The set of vectors $x \Gamma(P) := \{ y \in \{0,1\}^d \mid \exists \sigma \in \Gamma(P) \text{ with } \sigma(y) = x \}$

is called the orbit of x under $\Gamma(P)$

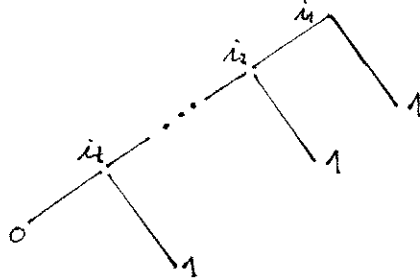
Decision trees:

Let T be a binary tree with each node $v \in T$ labelled with $l(v) \in \{1, \dots, d\}$ if v is an internal node and $l(v) \in \{0,1\}$ if v is a leaf.

The function $P(T): \{0,1\}^d \rightarrow \{0,1\}$ realized by T is defined in the usual way:

$$P(T)(x) = \begin{cases} P(\text{left subtree of } T)(x) & \text{if } x_{l(\text{root of } T)} = 0 \\ P(\text{right subtree of } T)(x) & \text{if } x_{l(\text{root of } T)} = 1 \\ l(\text{root of } T) & \text{if } T \text{ consists of a single node} \end{cases}$$

we introduce a shorthand notation for decision trees: a bracketed leaf (j_1, \dots, j_s) stands for



where $\{i_1, \dots, i_t\} = \{i \in \{1, \dots, d\} \mid i \neq j_1, \dots, j_s \text{ and } i \text{ is not a label on the path from the root to the leaf } (j_1, \dots, j_s)\}$.

The weight $w(x)$ of a vector x is the number of ones in x

$$W_i(P) := \{ x \mid P(x) = 1 \wedge w(x) = i \} \quad w_i(P) := |W_i(P)|$$

$$P^*(-1) := \sum_{\substack{j \text{ even} \\ 0 \leq j \leq d}} w_j(P) - \sum_{\substack{j \text{ odd} \\ 0 \leq j \leq d}} w_j(P)$$

Theorem 1 (even-odd-balance)
If P is not exhaustive then $P^*(-1) = 0$

Theorem 2 (Rivest-Vuillemin)
Any transitive Boolean function $P: \{0,1\}^d \rightarrow \{0,1\}$ such that p is a primepower $d = p^k$ and $P(0) \neq P(1)$ is exhaustive (any decision tree that realizes P has at least depth d)

$\sigma = (m_1, \dots, m_d)$, where $m_i \in \{1, \dots, d\}$, is a permutation in cyclic representation. It generates the group $C_d = \langle \sigma \rangle$. We say $p > 0$ is a period of a d -bit vector x via σ iff $\sigma^p(x) = x$. $p_m(x)$ denotes the smallest period of the d -bit vector x via σ .

Theorem 3

Let E denote the smallest set of natural numbers such that

- (i) $1 \in E$ and
- (ii) if $n \in E$ and q prime and $q > 2^{n-1}$,
then $n \cdot q^k \in E$ for all natural numbers k

If $P: \{0, 1\}^d \rightarrow \{0, 1\}$, $d \in E$, is a Boolean function with $\Gamma(P) \supset C_d$, $C_d = \langle (m_1, \dots, m_d) \rangle$, $P(0) \neq P(1)$, then P is exhaustive.

proof:

We have to show $P^1(-1) \neq 0$ (even odd ballance)
We prove the following statement $\mathcal{L}(n)$, $n \in E$

$\mathcal{L}(n): \iff$
If $Q: \{0, 1\}^n \rightarrow \{0, 1\}$ is a Boolean function and $\Gamma(Q) \supset C_n$,
 $C_n := \langle (r_1, \dots, r_n) \rangle$, $Q(0) \neq Q(1)$, then $Q^1(-1) \neq 0$

by induction

inductive basis : $\mathcal{L}(1)$, trivial

by inductive basis we have : $\mathcal{L}(n)$, $n \in E$, q prime, $q > 2^{n-1}$

inductive statement : $\mathcal{L}(n \cdot q^k)$, $k \in \mathbb{N}$, ($d := n \cdot q^k$)

it is enough to show:

If $\mathcal{L}(n)$, $n \in E$, q prime, $q > 2^{n-1}$, $q > 2$ then $\mathcal{L}(n \cdot q^k)$, $k \in \mathbb{N}$

The following statements are easy to show:

① If $\sigma = (m_1, \dots, m_d)$, $C_d = \langle \sigma \rangle$, and x is a d -bit vector
then $p_m(x) = |C_d(x)|$

② p is a period of a d -bit vector x via σ iff $p_m(x) \mid p$

- ③ If $\mathcal{C} = (m_1, \dots, m_d)$, $C_d = \langle \mathcal{C} \rangle$ and $C_d \subset \Gamma(P)$, then

$$\sum_{\substack{j \text{ even} \\ 0 \leq j \leq d}} W_j(P) = \sum_{\substack{\{x \mid P(x)=1 \text{ and} \\ w(x) \text{ even}\}}} C_d(x)$$

similar we have for $w(x)$ odd

- ④ If $\mathcal{C} = (m_1, \dots, m_d)$, $C_d = \langle \mathcal{C} \rangle$, and $Z_n = \{z \in \{0, 1\}^d \mid \mathcal{C}^n(z) = z\}$

$$Z_n^i := \{0, 1\}^n, d = n \cdot q^k$$

then

$$\varphi: Z_n \rightarrow Z_n^i \text{ with } \varphi(z_1, \dots, z_d) := (z_{m_1}, \dots, z_{m_n}) \\ \text{and } (z_1, \dots, z_d)^i := \varphi(z_1, \dots, z_d), \\ \text{is bijective}$$

$$\text{we define: } P^i: Z_n^i \rightarrow \{0, 1\} \text{ with } P^i(z^i) = P(z)$$

- ⑤ If $C_d = \langle \mathcal{C} \rangle$ and $\mathcal{C} = (m_1, \dots, m_d)$ then
 $C_d(x) \cap C_d(y) = \emptyset$ or $C_d(x) = C_d(y)$

- ⑥ If $|C_d(z)| \nmid n$, $z \in \{0, 1\}^d$, $d = n \cdot q^k$, q prime
 then: $q \mid |C_d(z)|$

- ⑦ If $\mathcal{C} = (m_1, \dots, m_d)$, $\mathcal{Y} = (m_1, \dots, m_n)$, $C_d = \langle \mathcal{C} \rangle$, $C_n = \langle \mathcal{Y} \rangle$,
 $|C_d(x)| \mid n$ then $|C_d(x)| = |C_n(x^i)|$

$$[C_d^k(x)]^i = C_n^k(x^i)$$

Now we prove that $P^i(-1) \neq 0$

$$\begin{aligned} P^i(-1) &= \sum_{\substack{j \text{ even} \\ 0 \leq j \leq d}} W_j(P) - \sum_{\substack{j \text{ odd} \\ 0 \leq j \leq d}} W_j(P) \\ &= \sum_{\substack{j \text{ even} \\ 0 \leq j \leq d}} |W_j(P)| - \sum_{\substack{j \text{ odd} \\ 0 \leq j \leq d}} |W_j(P)| \\ &= \left| \sum_{\substack{j \text{ even} \\ 0 \leq j \leq d}} W_j(P) \right| - \left| \sum_{\substack{j \text{ odd} \\ 0 \leq j \leq d}} W_j(P) \right| \end{aligned}$$

$$= \left| \bigcup_{\substack{\{x \mid P(x)=1 \\ w(x) \text{ even}\}} C_d(x) \right| - \left| \bigcup_{\substack{\{x \mid P(x)=1 \\ w(x) \text{ odd}\}} C_d(x) \right|$$

$$= \left| \bigcup_{x \in M_1} C_d(x) \cup \bigcup_{x \in M_2} C_d(x) \right| - \left| \bigcup_{x \in M_3} C_d(x) \cup \bigcup_{x \in M_4} C_d(x) \right|$$

$$M_1 = \{x \mid P(x)=1, w(x) \text{ even}, |C_d(x)| \mid n\}$$

$$M_2 = \{x \mid P(x)=1, w(x) \text{ even}, |C_d(x)| \nmid n\}$$

$$M_3 = \{x \mid P(x)=1, w(x) \text{ odd}, |C_d(x)| \mid n\}$$

$$M_4 = \{x \mid P(x)=1, w(x) \text{ odd}, |C_d(x)| \nmid n\}$$

there exist vectors $x_m \in M_1, m \in \Lambda_1$ such that

$$\bigcup_{m \in \Lambda_1} C_d(x_m) = \bigcup_{x \in M_1} C_d(x),$$

and if $m_1, m_2, m_1 \neq m_2$ then $C_d(x_{m_1}) \neq C_d(x_{m_2})$

similar we have for $\Lambda_2, \Lambda_3, \Lambda_4,$

$$= \left| \bigcup_{m \in \Lambda_1} C_d(x_m) \cup \bigcup_{m \in \Lambda_2} C_d(x_m) \right|$$

$$- \left| \bigcup_{m \in \Lambda_3} C_d(x_m) \cup \bigcup_{m \in \Lambda_4} C_d(x_m) \right|$$

$$= \sum_{m \in \Lambda_1} |C_d(x_m)| + \sum_{m \in \Lambda_2} |C_d(x_m)|$$

$$- \sum_{m \in \Lambda_3} |C_d(x_m)| - \sum_{m \in \Lambda_4} |C_d(x_m)|$$

$$= c \cdot q + \sum_{m \in \Lambda_1} |C_d(x_m)| - \sum_{m \in \Lambda_3} |C_d(x_m)|$$

⊕

$$= c \cdot q + \sum_{m \in \Lambda_1} |C_n(x'_m)| - \sum_{m \in \Lambda_3} |C_n(x'_m)|$$

$$= c \cdot q + \left| \bigcup_{m \in \Lambda_1} C_n(x'_m) \right| - \left| \bigcup_{m \in \Lambda_3} C_n(x'_m) \right|$$

The following 2 statements are easy to show

$$\textcircled{8} \quad \bigsqcup_{m \in \mathcal{A}_1} C_n(x'_m) = \bigsqcup_{\{y \mid P^1(y)=1 \\ w(y) \text{ even}\}} C_n(y) \quad (\text{similar we have for } \mathcal{A}_3)$$

notice: $w(x_m) = w(x'_m) \cdot q^k$. Since $q > 2$ we have:
 $w(x_m)$ even iff $w(x'_m)$ even

$\textcircled{9}$ If P is a Boolean function
 $\mathcal{G} = (m_1, \dots, m_d)$, $\mathcal{Y} = (m_1, \dots, m_n)$, $C_d = \langle \mathcal{G} \rangle$, $C_n = \langle \mathcal{Y} \rangle$
 $P(0) \neq P(1)$, $\Gamma(P) \supset C_d$, $d \in E$, and $\mathcal{L}(n)$
 then $P^1(-1) \neq 0$

Now we have

$$P^1(-1) = c \cdot q + \underbrace{\sum_{\substack{j \text{ even} \\ 0 \leq j \leq d}} w_j(P^1)}_{\in [0, q)} - \underbrace{\sum_{\substack{j \text{ odd} \\ 0 \leq j \leq d}} w_j(P^1)}_{\in [0, q)} \neq 0$$

$\neq 0$ see $\textcircled{8}$
 $q =$ $\in [0, q)$ $\in [0, q)$
 since $0 \leq g \leq 2^{n-1} < q$ and $0 \leq u \leq 2^{n-1} < q$
 $\in (-q, q)$

qed



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Mr. Carl-Heinz Barner
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Dear Mr. Barner:

In your example we have

$$\Gamma(Q) \not\equiv \Gamma(P)/\theta$$

an interesting oversight on our part. I don't know if it is possible to show that $\Gamma(Q)$ will still be transitive and abelian in all cases; perhaps not.

Please let me know what you discover, and thanks for pointing out this oversight.

Sincerely

A handwritten signature in black ink that reads "Ronald L. Rivest". The signature is written in a cursive, slightly slanted style.

Ronald L. Rivest,
Professor of Electrical Engineering
and Computer Science